

Disclosure of Payment for Order flow

Pursuant to SEC Rule 607, Great Point Capital is required to disclose its payment for order flow practices. Great Point Capital may receive payment for order flow. Order flow payment is compensation received as an incentive to direct (i) securities trades to exchanges that provide rebates to post certain orders and (ii) option trades to option market makers or option exchanges that provide rebates for certain option orders. Great Point Capital allows traders to direct orders to specific executing broker-dealers, and to exchanges or electronic communication networks, during normal business hours and during extended trading sessions. Some of those market centers provide payments to Great Point Capital, or charge access fees depending upon the type of order and any subsequent execution. This compensation can be received in a various ways, including direct cash payment. In certain instances, reduced transaction fees may be provided. Details of these payments and fees can be provided by making a written request to Great Point Capital.